



COPY OF DOCUMENTS  
ORIGIN

24

MPJ +  
PTO/SB/21 (modified)

Approved for use through xx/xx/xx, OMB 0651-0061

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

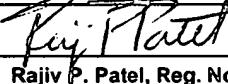
|  |  |                        |                    |            |
|--|--|------------------------|--------------------|------------|
| 0001/PTO<br>Rev. 10/95   | U.S. Department of Commerce<br>Patent and Trademark Office |                        | Application Number | 10/006,971 |
| <b>TRANSMITTAL FORM</b><br><i>(to be used for all correspondence during pendency of filed application)</i> |  | Filing Date            | December 6, 2001   |            |
|  |  | First Named Inventor   | David Green        |            |
|  |  | Group Art Unit Number  | 2712               |            |
|  |  | Examiner Name          | Not yet known      |            |
| Total Number of Pages in This Submission   | 8  | Attorney Docket Number | 22728-06523        |            |

**ENCLOSURES (check all that apply)**

|   |   |
|---|---|
| <input checked="" type="checkbox"/> Fee Transmittal Form (in duplicate)<br><input checked="" type="checkbox"/> Check Enclosed (\$3,692.00)  | <input type="checkbox"/> Issue Fee Transmittal<br><input type="checkbox"/> Letter to Chief Draftsperson<br><input type="checkbox"/> Formal Drawing(s):<br><input type="checkbox"/> [ ] Sheet(s) of Figure(s) [ ]<br><input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences<br><input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)<br><input type="checkbox"/> Certified Copy of Priority Document(s)<br><input type="checkbox"/> After Allowance Communication to Group<br><input checked="" type="checkbox"/> Copy of Notice to File Missing Parts<br><input type="checkbox"/><br><input type="checkbox"/><br><input type="checkbox"/><br><input type="checkbox"/><br><input type="checkbox"/><br><input type="checkbox"/> |
| <input checked="" type="checkbox"/> Return Receipt Postcard<br><input checked="" type="checkbox"/> Response to Notice to File Missing Parts<br><input type="checkbox"/> Assignment & Recordation Cover Sheet<br><input checked="" type="checkbox"/> Declaration<br><input type="checkbox"/> Power of Attorney<br><input type="checkbox"/> Application Data Sheet<br><input type="checkbox"/> Information Disclosure Statement & PTO-1449<br><input type="checkbox"/> Copies of IDS Cited References<br><input type="checkbox"/> Request for Corrected Filing Receipt<br><input type="checkbox"/> Request for Correction of Recorded Assignment<br><input type="checkbox"/> Amendment/Response: [ ] Page(s)<br><input type="checkbox"/> After Final<br><input type="checkbox"/> Status Request<br><input type="checkbox"/> Revocation and Substitute Power of Attorney |   |

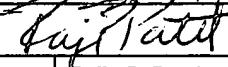
**REMARKS:**

**SIGNATURE OF ATTORNEY OR AGENT**

|                    |   |                      |
|--------------------|---|----------------------|
| Signature:         |  |                      |
| Attorney/Reg. No.: | Rajiv P. Patel, Reg. No. 39,327   | Dated: June 21, 2002 |

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence, including the enclosures identified above, is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Box Missing Parts, Commissioner for Patents, Washington, D.C. 20231 on the date shown below. If the Express Mail Mailing Number is filled in below, then this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service pursuant to 37 CFR 1.10.

|   |   |                      |
|---|---|----------------------|
| Signature:                              |  |                      |
| Typed or Printed Name:                  | Rajiv P. Patel  | Dated: June 21, 2002 |
| Express Mail Mailing Number (optional): |   |                      |

JUN 27 2002

COPY PERS  
Y FILEDPTO/SB/17 (10-01)(modified)  
OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

0002/PTO(modified)  
Rev. 10/2001U.S. Department of Commerce  
Patent and Trademark OfficePATENT  
TRADEMARK  
SPEECH  
FEE TRANSMITTAL

## TOTAL AMOUNT OF PAYMENT

Subtotal (1) + Subtotal (2) + Subtotal (3) = **(\$ 3,692.00)**

Complete if Known

|                      |                  |
|----------------------|------------------|
| Application Number   | 10/006,971       |
| Filing Date          | December 6, 2001 |
| First Named Inventor | David Green      |
| Group Art Unit       | 2712             |
| Examiner Name        | Not yet known    |

Attorney Docket Number 22728-06523

## METHOD OF PAYMENT

## FEE CALCULATION (continued)

## 1. The Commissioner is hereby authorized to:

Charge the indicated fees to the below mentioned deposit account.

Charge any additional fee required under 37 CFR 1.16 - 1.21 or credit any over payments to the below mentioned deposit account. †

Applicant claims small entity status  
See 37 CFR 1.27

Deposit Account Number: 19-2555  
Deposit Account Name: FENWICK & WEST LLP

A Duplicate Copy of this authorization is attached

2.  Payment Enclosed: Check  Credit Card  Other

## FEE CALCULATION (fees effective 10/01/2001)

## 1. FILING FEE

| Large Entity<br>Fee Code/Fee | Small Entity<br>Fee Code/Fee | Fee<br>Description    | Fee<br>Due |
|------------------------------|------------------------------|-----------------------|------------|
| 101/\$740                    | 201/\$370                    | Utility Filing        | <b>740</b> |
| 106/\$330                    | 206/\$165                    | Design Filing         | <b></b>    |
| 108/\$740                    | 208/\$370                    | Reissue               | <b></b>    |
| 114/\$160                    | 214/\$80                     | Provisional<br>Filing | <b></b>    |
| <b>SUBTOTAL (1)</b>          |                              | <b>(\$ 740.00)</b>    |            |

## 2. CLAIMS

| Large Entity<br>Fee Code/Fee | Small Entity<br>Fee Code/Fee | Fee Description   |
|------------------------------|------------------------------|---|
| 103/\$18                     | 203/\$9                      | Claims in excess of 20  |
| 102/\$84                     | 202/\$42                     | Independent claims<br>in excess of 3                          |
| 104/\$280                    | 204/\$140                    | Multiple dependent claim                                      |
| 109/\$84                     | 209/\$42                     | Reissue independent<br>claims over original patent            |
| 110/\$18                     | 210/\$9                      | Reissue claims in excess<br>of 20 and over original<br>patent |
| <b>SUBTOTAL (3)</b>          |                              | <b>(\$ 240.00)</b>  |

## 3. ADDITIONAL FEES

| Large Entity<br>Fee Code/Fee | Small Entity<br>Fee Code/Fee | Fee Description   | Fee Due    |
|------------------------------|------------------------------|---|------------|
| 105/\$130                    | 205/\$65                     | Surcharge - late filing fee or oath   | <b>130</b> |
| 127/\$50                     | 227/\$25                     | Surcharge-late provisional filing fee or cover sheet                          | <b></b>    |
| 147/\$2,520                  | 147/\$2,520                  | For filing a request for reexamination  | <b></b>    |
| 115/\$110                    | 215/\$55                     | Extension for response within first month†                                    | <b>110</b> |
| 116/\$400                    | 216/\$200                    | Extension for response within second month†                                   | <b></b>    |
| 117/\$920                    | 217/\$460                    | Extension for response within third month†                                    | <b></b>    |
| 118/\$1,440                  | 218/\$720                    | Extension for response within fourth month†                                   | <b></b>    |
| 128/\$1,960                  | 228/\$980                    | Extension for response within fifth month†                                    | <b></b>    |
| 119/\$320                    | 219/\$160                    | Notice of Appeal  | <b></b>    |
| 141/\$1,280                  | 241/\$640                    | Petition to revive unintentionally abandoned<br>application                   | <b></b>    |
| 142/\$1,280                  | 242/\$640                    | Utility Issue Fee (Or Reissue)  | <b></b>    |
| 143/\$480                    | 243/\$230                    | Design Issue Fee  | <b></b>    |
| 122/\$130                    | 122/\$130                    | Petitions to the Commissioner   | <b></b>    |
| 128/\$180                    | 128/\$180                    | Submission of Information Disclosure Statement                                | <b></b>    |
| 179/\$740                    | 279/\$370                    | Request for Continued Examination (RCE)                                       | <b></b>    |
| 581/\$40                     | 581/\$40                     | Recording each patent assignment per property<br>(times number of properties) | <b></b>    |
| 146/\$740                    | 246/\$370                    | Filing a submission after final rejection<br>(37 CFR 1.129(a))                | <b></b>    |
| 149/\$740                    | 249/\$370                    | For each additional invention to be examined<br>(37 CFR 1.129(b))             | <b></b>    |
| Other fee (specify):         |                              |   | <b></b>    |
| Other fee (specify):         |                              |   | <b></b>    |
| <b>SUBTOTAL (3)</b>          |                              | <b>(\$ 240.00)</b>  |            |

| (Col. 1)<br>For                                    | (Col. 2)<br>No. of<br>Existing<br>Claims | (Col. 3)<br>Highest No.<br>Previously<br>Paid For | Extra** | Fee | Fee<br>Due |
|--|--|---|---------|-----|------------|
| TOTAL  | 138                                      | minus*  | 20 or 0 | =   | 118        |
| INDEP  | 10                                       | minus*  | 3 or 0  | =   | 7          |
| [ ] First presentation of multiple dependent claim |  |   | x       | x   | =          |
|  |  |   |         | 18  | 2124       |
|  |  |   |         | 84  | 588        |
|  |  |   |         | =   | =          |

\* Subtract the greater number of Col. 2

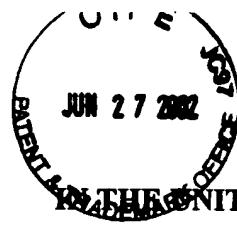
**SUBTOTAL (2)** **(\$ 2712)**

\*\* If the difference between Col. 1 and Col. 2 is less than zero, then enter "0" in Col. 3

| SUBMITTED BY          |   | Complete (if applicable) |               |
|-----------------------|---|--------------------------|---------------|
| Typed or Printed Name | Rajiv P. Patel  | Reg. Number              | 39,327        |
| Signature             |  | Date                     | June 21, 2002 |

22728/06523/DOCS/1207546.1

† Request for Extension of Time per 37 CFR 1.136 (a)(3) made hereby



COPY PAPERS  
ORIGINALLY FILED

**PATENT**

**PATENT AND TRADEMARK OFFICE**

APPLICANT: David Green

APPLICATION NO.: 10/006,971

FILING DATE: December 6, 2001

TITLE: System for Producing Personalized Video Recordings

EXAMINER: Not yet known

GROUP ART UNIT: 2712

ATTY. DKT. NO.: 22728-06523

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Box Missing Parts, Commissioner For Patents, Washington, D.C. 20231, on the date shown below:

Dated: June 21, 2002

By: Rajiv Patel

Rajiv P. Patel, Reg. No. 39,327

BOX MISSING PARTS  
COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

**RESPONSE TO NOTICE TO FILE MISSING  
PARTS OF APPLICATION**

SIR:

Responsive to the Notice to File Missing Parts dated March 21, 2002, received in the above-identified patent application,

Enclosed are:

- a copy of the Notice to File Missing Parts;
- an original, signed Declaration;
- an original, signed Power of Attorney;
- an Application Data Sheet;

payment in the amount of \$3,692.00 for the

application filing fee;

fee for additional claims;

missing parts surcharge; and

one-month extension of time.

Other

Applicant claims small entity status under 37 C.F.R. § 1.27.

Respectfully submitted,  
David Green

Dated: June 21, 2002

By:   
Rajiv P. Patel, Reg. No. 39,327  
Fenwick & West LLP  
Two Palo Alto Square  
Palo Alto, CA 94306  
Tel.: (650) 858-7607  
Fax: (650) 494-1417



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 10/006,971         | 12/06/2001          | David Green           | 22728-06523            |

00758  
FENWICK & WEST LLP  
TWO PALO ALTO SQUARE  
PALO ALTO, CA 94306



## CONFIRMATION NO. 2745

## FORMALITIES LETTER



\*OC00000007685368\*

Date Mailed: 03/21/2002

## NOTICE TO FILE MISSING PARTS OF REISSUE APPLICATION

*Filing Date Granted*

An application number and filing date have been accorded to this reissue application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$2712.
  - \$2124 for 118 total claims over 20.
  - \$588 for 7 independent claims over 3 .
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 3582.**

*A copy of this notice **MUST** be returned with the reply.*

*C. M. L. - 4/10/02*  
Customer Service Center  
Initial Patent Examination Division (703) 308-1202

## PART 2 - COPY TO BE RETURNED WITH RESPONSE

Adjustment date: 06/01/2002 TL00011  
07/02/2002 SMINASS1 00000004 10006971  
01 FC:101 -740.00 OP  
02 FC:103 -2124.00 OP  
03 FC:102 -588.00 OP

|                                       |                                      |
|---------------------------------------|--------------------------------------|
| 07/02/2002 SMINASS1 00000004 10006971 | 06/01/2002 TL00011 00000003 10006971 |
| 01 FC:101 740.00 OP                   | 01 FC:103 740.00 OP                  |
| 02 FC:103 2124.00 OP                  | 02 FC:110 2124.00 OP                 |
| 03 FC:102 588.00 OP                   | 03 FC:109 588.00 OP                  |
| 04 FC:105 130.00 OP                   |                                      |